Transmittal Form w/ Declaration Attorney Docket No. **SNY-039 Total Pages** UTILITY PATENT APPLICATION First named Inventor or Application Naoki IMACHI TRANSMITTAL Identifier (Only for new nonprovisional applications under 37 CFR 1.53(b)) Title of Invention LITHIUM SECONDARY BATTERY ADDRESS TO: **APPLICATION ELEMENTS** Commissioner for Patents **Box Patent Application** See MPEP chapter 600 concerning utility patent application Alexandria, VA 22313-1450 1. Ø Fee Transmittal Form 6. ☐ Microfiche Computer Program (Appendix) 2. 

Specification [Total Pages 49] 7. Nucleotide and/or Amino Acid Sequence - Descriptive title of the Invention Submission - Cross References to Related Applications (if applicable, all necessary) - Statement Regarding Fed sponsored a. 

Computer Readable Copy b. ☐ Paper Copy (identical to computer copy) c. 

Statement verifying identity of above copies - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention **ACCOMPANYING APPLICATION PARTS** - Brief Description of the Drawings (if filed) 9. 37 CFR 3.73(b) Statement - Detailed Description (When there is an assignee) - Claim(s) ☐ Power of Attorney - Abstract of the Disclosure 10. ☐ English Translation Document (if applicable) 3. 

■ Formal Drawing(s) (35 USC 113) [Total Sheets 3] 11. ☑ Information Disclosure Statement (IDS) /PTO-4. Ø Oath or Declaration [Total pages 2] 1449 a. 

Newly executed (original or copy) ☑ Copies of IDS Citations (6 docs) b. ☐ Copy from a prior application 12. ☐ Preliminary Amendment [with Version with (37 CFR 1.63(d)) Markings to Show Changes Made] (for continuation/divisional with Box 17 completed) 13. 

■ Return Receipt Postcard (MPEP 503) [Note Box 5 below] 14. 

Assertion to Entitlement to Small Entity Status I. DELETION OF INVENTOR(S) ☐ Assertion filed in prior application, status Signed statement attached deleting still proper and desired inventor(s) named in the prior 15. 

☐ Certified Copy of Priority Document(s) application, see 37 CFR 1.63(d)(2) ☑ Priority of application No. 2002-244912 filed and 1.33(b). on August 26, 2002, in Japan is claimed 5. ☐ Incorporation By Reference (useable if Box 4b is under 35 USC 119. checked) ☐ The certified copy has been filed in prior The entire disclosure of the prior application, from application Serial No. 08/\*. which a copy of the oath or declaration is supplied 16. ☐ Other: \* under Box 4b, is considered as being part of the disclosure of the accompanying application and is OTHER hereby incorporated by reference therein. 17. ☐ Priority of \* Patent Application No. \* filed \* is claimed under 35 USC 119. 18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: □ Continuation □ Divisional ☐ Continuation-in part (CIP) of prior application No.: 09/\* 19. CORRESPONDENCE ADDRESS

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August 25, 2003

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FILING DATE



## **FEE TRANSMITTAL**

Note: Effective January 1, 2003

Application Number	Not Yet Assigned
Filing Date	August 25, 2003
First Named Inventor	Naoki IMACHI
Group Art Unit	Not Assigned
Examiner Name	Not Assigned
Attorney Docket Number	SNY-039

CLAIMS AS FILED-PART 1		SMALL ENTITY		OTHER THAN SMALL ENTITY		
FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE	RATE	FEE
BASIC FEE (37 CFR 1.16 (a))			A	\$375.00		\$750.00
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INDEPENDENT CLAIMS (37 CFR 1.16 (B))	1-3=		\$42.00		\$84.00	\$0.00
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		17.44	SUB TOTAL		SUB TOTAL	\$750.00
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